Complete if Known Substitute for form 1449A/PTO **Application Number** Not yet received INFORMATION DISCLOSURE Even date herewith **Filing Date** 10-10-03 STATEMENT BY APPLICANT First Named Inventor Pankaj B. SHAH Group Art Unit Not yet assigned. 2813 (use as many sheets as necessary) **Examiner Name** Not yet assigned Sheet of 2 Attorney Docket Number ARL 03-19

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xaminer	Cite No.1	U.S. Patent Number	Document Kind Code ² (if known)	Name of Patentee or Applicant/Inventor of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials*	Office ³ Number		Kind Code⁵ (if known)	Applicant/Inventor of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Τ¢
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	Examiner Signature	Dleau	Date Considered	2/15/05
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Cite	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s).						
	publisher, city and/or country where published	T²					
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Al	ZHELEVA, et al., "Dislocation density reduction via lateral epitaxy in selectively grown GaN structures," Appl. Phys. Lett., October 27, 1997, pp. 2472-2474, Vol. 71, No. 17.						
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	AI AJ AK AL AM AO AP AQ	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published AH SOWERS, et al., "Thin films of aluminum nitride and aluminum gallium nitride for cold cathode applications," Appl. Phys. Lett., October 20, 1997, pp. 2289-2291, Vol. 71, No. 16. AI ZHELEVA, et al., "Dislocation density reduction via lateral epitaxy in selectively grown GaN structures," Appl. Phys. Lett., October 27, 1997, pp. 2472-2474, Vol. 71, No. 17. AJ UNDERWOOD, et al., "GaN field emitter array diode with integrated anode", J. Vac. Sci. Technol. B., March/April 1998, pp. 822-825, Vol. 16, No. 2. AK KOZAWA, et al., "Field emission study of gated GaN and Al _{0.1} Ga _{0.9} N/GaN pyramidal field emitter arrays," Appl. Phys. Lett., November 22, 1999, pp. 3330-3332, Vol. 75, No. 21. AL KUBALL. et al., "Focused Ion Beam Etching of Nanometer-Size GaN/A/GaN Device Structures and Their Optical Characterization by Micro-Photoluminescence/Raman Mapping," MRS Interent J. Nitride Semicond. Res., 2000, Vol. 5S1, Art. W12.3. AM GÜNTHER, et al., "Comparison of field emission from diamond and AlN coated Si Tips," EURO FE, September					

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